DT17 Rec'd PCT/PTO 3 0 SEP 2004

PTO-1390 (Rev. 07-2004) Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL LETTER TO THE UNITED STATES 9432-176/NP DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICATION I.Q. (Wknown, see 37) QFR .5) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. PCT/US03/09880 INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED 05 April 2002 (5.04.2002) 31 March 2003 (31.03.2003) TITLE OF INVENTION HANDHELD DEVICE THAT INTEGRATES PERSONAL INFORMATION MANAGEMENT WITH AUDIO/VIDEO CONTROL APPLICANT(S) FOR DO/EO/US MA, Yue, et al. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. X This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). b. X has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). 7. X Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). 10. Items 11 to 20 below concern document(s) or information included: 11. 🛚 An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. A preliminary amendment. 14 X An Application Data Sheet under 37 CFR 1.76. A substitute specification. 16 A power of attorney and/or change of address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. 18. X A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. L A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4). Other items or information: See Attachment 1 20. X

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Page 1 of 2

DT04 Rec'd PCT/PT0 3 0 SEP 2004

PTO-1390 (Rev. 07-2004)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. (If known ised 37(0FR).5)		INTERNATIONAL AI	INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER	
			PCT/US03/09880		9432-176/NP	
21. X The following	fees are submitted:			CALCULATIONS	PTO USE ONLY	
	E (CFR 1.492(a)(1)–(5)):					
Neither international preliminary examination fee (37 CFR 1.482)						
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO						
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO\$920.00						
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO						
International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4)						
International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4)				\$ 100.00		
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$ 0.00		
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$		
Total claims	53 - 20 =	33	X \$18.00	\$ 594.00		
Independent claims	13 -3=	10	X \$86.00	\$ 860.00		
MULTIPLE DEPENDE	NT CLAIM(S) (if applicable)		+ \$290.00	\$ 0.00		
TOTAL OF ABOVE CALCULATIONS =				\$ 1,554.00		
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.				\$		
SUBTOTAL =				\$ 1,554.00		
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$ 0.00		
TOTAL NATIONAL FEE =				\$ 1,554.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$ 0.00		
TOTAL FEES ENCLOSED =				\$ 1,554.00		
				Amount to be refunded:	\$	
				Amount to be charged:	\$	
a. A check in the amount of \$ 1,554.00 to cover the above fees is enclosed.						
b. Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.						
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>08-0750</u> . A duplicate copy of this sheet is enclosed.						
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.						
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.						
SEND ALL CORRESPONDENCE TO:						
Gregory A. Stobbs			नाराजात क			
Harness, Dickey & Pierce, P.L.C.				1		
P.O. Box 828 Gregory A.				Stobbs		
Bloomfield Hills, MI 48303						
United States of America 28,764 REGISTRATIO				ON NUMBER		
I .						

10/509827 DT04 Rec'd PCT/PTO 30 SEP 2004

Addendum

Attachment 1

Return Postcard, Express Mail Transmittal (Label No. EV 531 986 732 US), Check in the amount of \$1,554.00, Form-1449 (2 pages), Copy of International Search Report Form PCT/ISA/210 (3 pages)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Express Mail No.: EV 531 986 732 US

Date of Deposit: 30 September 2004

Application No.:

Not yet assigned – 371 of PCT/US03/09880

International

Filing Date:

31 March 2003 (31.03.2003)

Applicant:

MA, Yue, et al.

Title:

HANDHELD DEVICE THAT INTEGRATES

PERSONAL INFORMATION MANAGEMENT WITH

AUDIO/VIDEO CONTROL

Attorney Docket:

9432-000176/NPB

Mail Stop PCT Commissioner for Patents P. O. Box 1450 Alexandria, Virginia 22313-1450

EXPRESS MAIL TRANSMITTAL

The following papers are being deposited with the United States Postal Service Express Mail Post Office To Addressee on 30 September 2004 and addressed for receipt by the United States Patent and Trademark Office:

- 1. Express Mail Transmittal (Label No. EV 531 986 732 US);
- 2. Check in the amount of \$1,554.00;
- 3. Transmittal Letter to the US Designated/Elected/Office Concerning a Filing Under 35 USC 371 (3 pages, in duplicate);
- 4. Application Data Sheet (4 pages);
- 5. Copy of International Application as filed (26 pages);
- 6. Formal Drawings showing Figs. 1-23 (21 pages);
- 7. Information Disclosure Statement (5 pages) and Form-1449 (2 pages);
- 8. Copy of International Search Report (3 pages);
- 9. Copy of Published International Application WO 03/088655; and
- 10. Return Postcard.

HARNESS, DICKEY & PIERCE, P.L.C. P.O. Box 828
Bloomfield Hills, Michigan 48303 (248) 641-1600

Calew f. Manguidu
Valeri Mangindin